Facilitated Adviser Charges member authority form

You can only use this form with our:

- WorkSave Pension Plan
- WorkSave Pension Trust (dependent on scheme rules)
- WorkSave Buy-Out Plan
- Trustee Buy-Out Plan

You should use this form if you want us to pay your adviser charges directly from your pension pot.

Before you complete this form you should read the **Facilitated Adviser Charge Guide**, which you can access at **legalandgeneral.com/adviserchargeguide**

The **Facilitated Adviser Charge Guide** and your instructions on this form outline the terms under which we facilitate an adviser charge payment to your adviser's firm.

Please complete in BLOCK CAPITALS.

Both you and your adviser must complete and sign this form (where indicated) and send it to DC Pensions, L&G, PO Box 1560, Peterborough, PE1 9AP. Or you can email a scanned copy to us at **employerdedicatedteam@landg.com**

Important note: The personal information collected from you will be shared with fraud prevention agencies to prevent fraud and money laundering and to verify your identity. If fraud is detected, you could be refused certain services, finance, or employment. Further details of how your information will be used by us and these fraud prevention agencies, and your data protection rights, can be found on legalandgeneral.com/cifas

Part 1 – Member's details

Account number	
Title (Dr/Mr/Mrs/Ms/Miss/Other)	
Full name	
National Insurance number	
Name of your employer	

Part 2 – Adviser details

Adviser's full name	
Position	
Phone number	
Email address	

L&G agency number (required)	
Adviser's firm This is the organisation we'll make the payment to.	
Name of IFA network (if applicable)	
Address	
	Postcode

Legal & General

Part 3 – Facilitated Adviser Charge details

Amount of Facilitated Adviser Charge (to be shown in pounds, not as a percentage): £

Please tick ONE of the following to indicate what the advice you received was in relation to:

- [ ] A single one-off contribution into your pension amounting to:* £
- [ ] A transfer into your pension from another pension provider for:* £
  Please note, this form will need to be accompanied by the full transfer application form at the time of the transfer request.
- [ ] An application for flexi-access drawdown
  Please note: for Flexi-Access Drawdown cases facilitated adviser charges are deducted before payment of tax-free cash.
- [ ] Investment advice to switch or redirect funds
- [ ] Pension review
- [ ] Investment advice
- [ ] Something else (please provide details):

* These options are not available for the WorkSave Buy-Out Plan. Please note that this facility is designed to pay occasional charges for personal financial advice. It can't be used to pay regular charges for ongoing advice.

Part 4 – Member declaration

I would like you to pay the Facilitated Adviser Charge as detailed in Part 3 of this form to my adviser's firm named in Part 2 of this form.

I acknowledge that the Facilitated Adviser Charge will be taken from my pension pot in line with the method set out in the **Facilitated Adviser Charge Guide** which can be accessed at **legalandgeneral.com/adviserchargeguide**

I acknowledge and accept that:

- If my pension contributions are invested in a 'default arrangement' within a qualifying workplace pension scheme, the payment of the adviser charge will not affect my eligibility to become or remain a member of the scheme nor the ability for contributions to continue to be invested in that default arrangement.
- The amount of adviser charge may be higher than any maximum level of charge that the government imposes on default arrangements held within qualifying workplace pension schemes.
- Unless you tell us otherwise, we'll encash units proportionately across your existing fund holding to pay your adviser charge.

The adviser charge represents a full or partial payment in respect of a genuinely commercial arrangement between myself and the adviser named in Part 2 of this form relating to advice I've been given in respect of the account number specified in Part 1 of this form.

Member's signature

Signature

We can't accept this form unless it is signed by both the member and the adviser.

Date of signature (DD/MM/YYYY)

Part 5 – Adviser declaration

I confirm that:

I am the member's adviser and that the member has agreed to the payment of the Facilitated Adviser Charge detailed above to:

Name of adviser's firm:

I have assessed the services provided in respect of the adviser charge being requested and the amount represents fair value under the Consumer Duty as per PRIN 2.A4 under the FCA's Principles for Business, and as required by clause 4.3 of L&G's Intermediary Terms of Business;

The above Facilitated Adviser Charge is solely in relation to advice on this pension plan specified in Part 1, meets HM Revenue & Customs requirements for authorised payments and doesn't contain any element for charges which could be prohibited under section 19.1B of the FCA Conduct of Business Sourcebook.

Adviser's signature

Signature

Date of signature (DD/MM/YYYY)

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